

Juvenile Shackling Affidavit of Dr. John F. Chapman

Dr. John F. Chapman, being first duly sworn, hereby deposes and says:

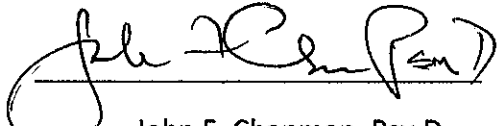
1. I am a clinical child psychologist, licensed to practice in the State of Connecticut and many Federal locations and military installations. I earned my Master's degree in Clinical Practices and a Doctorate in Psychology, both from the University of Hartford. I have been involved in research involving juvenile justice youth exposure to trauma, suicide prevention in custody, disproportionate minority contact and violence.
2. From 1990 to 2014, I worked in various capacities within Connecticut's juvenile justice system. This includes service as an Assistant Supervisor and later Supervisor of the New Haven Juvenile Detention Center. My duties there included psychoeducation for substance abusing youth as well as developing and testing screening and triage instruments applicable to an incarcerated group of high risk young people, with the specific goal of identifying and intervening in the lives of suicidal youth.
3. I am an Assistant Clinical Professor in the Department of Psychiatry at University of Connecticut School of Medicine. I am also an Assistant Clinical Professor at Yale University School of Medicine's Child Study Center. I am an advisory board member to the Global Institute of Forensic Research. I am a member of the American Psychological Society and the American Psychology-Law Society.
4. This affidavit is based on my clinical experience in working with children, families, and the juvenile justice system.
5. Research consistently demonstrates that only a small percentage of youth in custody present a risk for violence.
6. There are validated tools that can help the court identify the rare youth who, because of this risk, may require restraints. Use of these scales can guide decision making on when and where to use restraints.
7. The young people who come before the court are individuals and should be evaluated as such in making a determination about shackling. Factors to consider include the youth's social and developmental contexts.
8. The National Commission on Correctional Health Care, a group founded by the American Medical Association, discourages the use of restraint in facilities. The Commission requires physician authorization after reaching the conclusion that no less restrictive treatment is appropriate; checks every 15 minutes by trained health professionals; and a treatment plan that provides for removing restraints as soon as possible.
9. The commission noted that, depending on the type of restraint used by the jurisdiction, application of restraints can cause injury to the skin, and circulation and must be properly considered.

10. Youth in chains or some other sort of restraint for lengthy periods of time may see themselves as individuals who are considered dangerous and adopt this means of thinking.
11. For the shackled adolescent, restraint devices may indeed be a "red badge of courage" as gangster life is idealized and celebrated. Not many years ago, fake ID bracelets were designed to look as though the wearer were on probation. This sad, sad comment spoke to how rough one was. Non-violent youth should not be given the opportunity to climb a twisted social ladder.
12. Shackling is a procedure applied disproportionately to African-American youth. In a study by Chapman, Desai, & Falzer (2006), African-American youth were rated at lower risk of violence than white youth in juvenile detention, though they were detained at a higher rate. Thus, the impact of race must be considered.
13. In summary, shackling poses physical and psychological risk to young people. Most young people in detention do not require restraints to be safe. Reliable standards exist for identifying the few youth who pose a risk of violence.

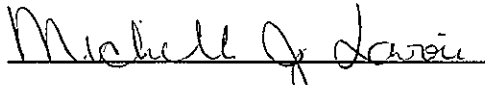
Further affiant sayeth not.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on February 11, 2015.


John F. Chapman, Psy.D

Sworn to me and subscribed in my presence on February 11, 2015.


NOTARY PUBLIC

My commission expires: _____



MICHELLE J LAVOIE
NOTARY PUBLIC
STATE OF CONNECTICUT
MY COMM. EXP. 09-30-2019

Seal:

Curriculum Vitae

John F. Chapman
Licensed Clinical Psychologist

Education

1992 Doctor of Psychology, University of Hartford
1987 Master of Arts, Clinical Practices, University of Hartford
1985 Bachelor of Arts, Psychology, Central Connecticut State University

Professional Memberships

American Psychological Association
 Division 18 Psychologists in Public Service
 Division 41 Psychology and the Law
 Division 52 International Psychology
Association for Psychological Science
American Correctional Association
American Association of Suicidology
Academy of Correctional Health Professionals-
 Certified Correctional Health Professional 2004-2008
Russian American Rule of Law Consortium

Professional Committees

Division 41 Liaison to APA Committee on International
 Relations in Psychology (2008-2011)
Northeast Injury Prevention Network (As a representative from Connecticut)
Interagency Suicide Prevention Network
Connecticut Youth Suicide Advisory Board

Advisory Board Member: Global Institute of Forensic Research

Faculty Appointment

2008 to Present: Assistant Clinical Professor, Department of Psychiatry,
University of Connecticut School of Medicine Farmington, CT

October 2011 to Present: Assistant Clinical Professor, Yale University School of
Medicine, Yale Child Study Center

Employment History

2014 to present: Independent Consulting

2008 to 2014: Forensic Supervisor, State of Connecticut, Judicial Branch Court Support Services Division. Provide consultation and direction to the Superior Court on matters relating to psychology and the law, forensic psychology, screening, assessment, and treatment. Liaison with delinquency, adult criminal courts, family courts and child protection courts on matters related to psychology. Provide clinical supervision for court based mental health evaluators.

2007 to 2008: Consultation and liaison to State of Connecticut Superior Court for Juvenile Matters, provide court order assessments and treatment plans, service triage for court involved youth, manage court usage of state psychiatric hospital resources, design, plan, and supervise 13 court clinics.

2004 to 2013: Psychologist in independent practice, Glastonbury , CT

1999 –2007: Responsible Health Authority State of Connecticut, Judicial Branch Court Support Services Division responsible for medical, dental, and mental health care administration in five juvenile detention centers and three up to four alternative programs. Responsible for clinical issues facing adult and juvenile courts in Connecticut; development of intake and assessment measures for intake into criminal justice system; negotiate and management of clinical contracts; fiscal and program development; developed policy and procedures manual; participate in organizational restructuring of a statewide criminal justice agency; collaborate with other state, private and federal agencies in establishing standards; develop and guide three detention centers to successful completion of accreditation through National Commission on Correctional Health Care. Negotiate and monitor compliance with conditions of federal consent judgment.

1997 – 1999: Supervisor, New Haven Juvenile Detention Center. Responsible for daily operation of a secure detention center, supervision of roughly 50 staff, program management, contact with local board of education, Federal court monitor, interaction with courts. Manage contracts with outside vendors for medical, psychiatric, and other services, participate in statewide management meetings and design of statewide restructuring of the administration of Connecticut courts, monitor conditions of a federal consent judgement.

1990 – 1997: Assistant Supervisor, New Haven Juvenile Detention Center. Responsible for set up and management of substance abuse education and assessment program, created policy for a model suicide prevention program, developed protocols for screening and assessment of mental health needs, development of contracts with mental health consultants.

1996 – 1998: Clifford Beers Child Guidance Clinic, New Haven, CT. Part time consultant for general child guidance clinic. Duties include consultation to private agencies dealing with youth involved in criminal behavior, individual, group, and

family psychotherapy, intake and evaluation of youth referred, psychological testing.

1994 – 1996: Clifford Beers Child Guidance Clinic, New Haven, CT.
Post – doctoral residency in general child psychology in an outpatient setting. Duties include consultation to private agencies dealing with youth involved in criminal behavior, individual, group, and family psychotherapy, intake and evaluation of youth referred, psychological testing. Membership in interdisciplinary team, participation in case meetings and in-service training

1989 – 1990: Klingberg Family Centers, New Britain, CT. Case manager for adolescent males and females in residential treatment facility. Involved group, individual, and family therapy. Case management and interface with placement agencies required.

1988 – 1989: Pre – Doctoral Internship, Elmcrest Psychiatric Institute, Portland CT. APA approved pre-doctoral internship program with focus on both adult and child and adolescent psychiatry.

1986 – 1988: Psychometrician, University of Connecticut Health Center, inpatient psychiatric unit. Responsible for administering psychological testing. Part time for educational stipend.

Teaching Experience

2000 Training and Technical Assistance Provider, Professional Development Department, American Correctional Association

1997-1999 Lecturer, Department of Psychiatry, Yale University School of Medicine

May, 2002. Invited external reader. *Cross-cultural differences in coping styles in juvenile offenders*. Dissertation in support of the Psy.D. degree awarded to Dr. Maritza Lugo Stalker, University of Hartford.

2003-2009, Clinical Instructor of Psychology (in Psychiatry), Yale University School of Medicine

1995 to present State of Connecticut, Judicial Branch, Court Support Services Division, Adjunct Instructor, Training Academy

Graduate classes in Personality Assessment, University of Connecticut School of Medicine

Guest Editor, (February, 2011). Special Section, Educational Needs of Children in Juvenile Detention: An International Perspective. *Learning and Individual Differences*, 21(1).

Research and Presentations

Chapman, J.F. (1997). Perceptions of crowding and minority overrepresentation. *Corrections Today*, 59, 86-88

Chapman, J.F., Sanislow, C.A., & White, T. (1997). *The future role of psychology in juvenile justice*. Paper presented at the annual conference of the Connecticut Psychological Association, Trumbull, CT.

Chapman, J.F. & Sanislow, C.A. (2000). *Back to the future: Refocusing the image of suicide in juvenile detention*. Paper presented at the 33rd Annual Conference of the American Association of Suicidology, Los Angeles, CA

Chapman, J.F. (2000). *Images, impact, and institution: Inside suicide prevention. Presentation.* Presentation made to Northeast Injury Prevention network Invitational Suicide Prevention Planning Conference, Byfield, Massachusetts.

Barone, J., Bielecki, L., Borjeson, T., Braren, R., **Chapman, J.**, Hines, M., Levinson, M., & Roberge, G. (2000, October). Criminal justice assessments and jail diversion. In B.Rayford and W. Farrell (Co-chairs), *Enhancing Public Safety Through Substance Abuse Treatment: A forum on Continuity of Care*. Forum conducted by the Inter-Agency Addiction Treatment Task Force, Cheshire, CT

Chapman, J.F., Wasilesky, S., & Zuccaro, M. (2000). State of Connecticut, Judicial Branch, Court Support Services Division. *Assessment of Psychiatric Needs of Children in Connecticut's Juvenile Detention Centers: A Report to the Deputy Chief Court Administrator's Task Force on Overcrowding*.

Chapman, J.F. (2001). *Meaningful Treatment Alternatives*. Paper presented at the Connecticut and Alcohol Drug Policy Council Conference, Cromwell, CT

Chapman, J.F. (2001). *Integration of Mental Health and the Juvenile Justice System*. Presentation made to the annual conference of the Mental Health Association of Connecticut. November 16, 2001.

Sanislow, C.A.; **Chapman, J.F.**, & McGlashan, T.H. (2003). Crisis intervention in juvenile detention services. *Psychiatric Services*, 54(1), 107.

Chapman, J. & Torello, J. (2003) *Successful Outcomes for Children in the Juvenile Justice System*. Conference presentation Adolescent Substance Abuse:

From Resistance to Recovery. Conference sponsored by the Mid-Fairfield Child Guidance Center, Norwalk, CT.

Chapman, J.F., Robbins, J. Migdole, S., Coleman, K. Davis, J. (2003). *Juvenile Detention Centers: Enhancing Collaboration and Mitigating Rifts*. Presented at the National Conference on Correctional Health Care, Austin, Texas. October 8, 2003.

Chapman, J.F., Coleman, K., Davis, J., DesCoteaux, V., & Robbins, J. (2004). Contractual health services in juvenile detention centers. *Correctional Health Care Report*, 5(3), 21, 34-36.

Chapman, J.F., Sokoloff, K., Arnone, L.C., & Benedict, A. (2004). Labels and lost opportunities: Girls in the justice system. *Correctcare*, 18(3) Summer, 2004 12.

Chapman, J.F., *Mental health diagnosis of incarcerated females: Labels and lost opportunities*. Paper presented at the National Commission on Correctional Health Care annual conference, New Orleans, LA, November 16, 2004.

Chapman, J.F. *Preventing Suicide Through Proactive Policy and Interventions*. Presentation at the Connecticut Special Education Resource Center, New Britain, CT October 29, 2004.

Chapman, J.F., Falzer, P., & Desai, R. (2005). *Disproportionate Minority Contact in Connecticut*. Paper presented at the 2nd Annual Juvenile Justice Conference, Storrs, CT May 10, 2005.

Chapman, J.F. *Suicide Prevention in Juvenile Justice and Correctional Settings*. Presentation at the Preventing Suicide in Public Health Regions I and II Conference, Suicide Prevention Resource Center. June 6, 2005.

Desai, R.A., Goulet, J.L., Robbins, J., **Chapman, J.F.**, Migdole, S.J., & Hoge, M.A. (2006) Mental health care in juvenile justice facilities: A review. *Journal of the American Academy of Psychiatry and the Law*, 34, 204-214.

Ford, J.D., **Chapman, J.F.**, Mack, M., & Pearson, G. (2006). Pathways from traumatic child maltreatment to delinquency: Implications for juvenile and permanency court proceedings and decisions. *Juvenile and Family Court Journal*, 57(1), 13-27.

Chapman, J.F., Desai, R.A., Falzer, P.R., & Borum, R. (2006). Violence risk and race in a sample of youth in juvenile detention: The potential to reduce disproportionate minority confinement. *Youth Violence and Juvenile Justice*, 4(2), 170-184.

Chapman, J.F., Desai, R.A., & Falzer, P.R. (2006). Mental health service provision in juvenile justice facilities: pre- and postrelease psychiatric care. *Child and Adolescent Psychiatric Clinics of North America*, 15(2), 445-458.

Chapman, J.F. Ford, J.D., & Albert, D. *Traumatic Stress in Juvenile Justice:* Presentation to the National Commission on Correctional Health Care (NCCHC). Presentation made at the NCCHC Conference October 11, 2005.

Chapman, J.F., Ford, J.D., Hawke, J., & Albert, D. (In press). Traumatic stress: Exposure, identification, and intervention in correctional systems. In J. Moore (Ed.) *Management and Administration of Correctional Health Care*. Kingston, NJ: Civic Research Institute.

Chapman, J.F., Ford, J.D., Albert, D., Hawke, J., & Cruz St. Juste, M. (2006). Taking the fear out of trauma services in correctional settings: The TARGET approach. *Correctcare*, 20(2),1,14.

Chapman, J.F. (2006). Unique trauma program begins in Connecticut. *Justice Journal*, 2(4),7.

Ford, J.D., Hawke, J.M., & **Chapman, J.F.** Trauma in Juvenile Justice Settings: Presentation to the DHHS Joint Meeting on Adolescent Treatment Effectiveness (JMATE) Conference, Baltimore, MD, March 27, 2006.

Ford, J.D., Hawke, J.M., & **Chapman, J.F.** Trauma in Juvenile Justice Settings: Presentation to the National GAINS Center Conference, Boston, MA. April 7, 2006.

Chapman, J.F. Detention Alternatives as Continuum. Presentation at “Beyond These Walls: Promoting Health and Human Rights of Youth in the Juvenile Justice System” Sponsored by Physicians for Human Rights. April 8, 2006. Yale Law School, New Haven, CT

Chapman, J.F. Direct care and academia in juvenile justice: Potential solutions to persistent problems. Presentation to the International Association of Forensic Mental Health Services 6th Annual Conference, June 15, 2006, Amsterdam, The Netherlands.

Chapman, J.F., Ford, J.D., Hawke, J. & Albert, D. (2006). Traumatic stress: Exposure, identification, and intervention in correctional systems, Part I. *Correctional Health Care Report*, 7(5), 65-66, 72-73.

Chapman, J.F., Ford, J.D., Hawke, J. & Albert, D. (2006). Traumatic stress: Exposure, identification, and intervention in correctional systems, Part II. *Correctional Health Care Report*, 7(6), 83-84, 90-95.

Chapman, J.F. & Rustvold, S. Chronic Collaborative Integrated Care. Presentation at the National Commission on Correctional Health Care Annual Conference, October 30, 2006, Atlanta, GA.

Alston, K., Levay, S., Ortiz, J. & **Chapman, J.F.** Juvenile Justice and Traumatic Stress: Practical Application of Evidenced Based Research and Practice. Poster presentation at the National Commission on Correctional Health Care Annual Conference, October 30, 2006, Atlanta, GA.

Ford, J.D., Hartman, J.K., Hawke, J. & **Chapman, J.F.** (2008) Traumatic victimization, posttraumatic stress disorder, suicidal ideation, and substance abuse risk among juvenile justice involved youths. *Journal of Child and Adolescent Trauma, 1*, 75-92.

Ford, J.D., **Chapman, J.F.**, Pearson, G., Borum, R., & Wolpaw, J. M. (2008). Psychometric status and clinical utility of the MAYSI-2 with girls and boys in juvenile detention. *Journal of Psychopathology and Behavioral Assessment, 30*(2), 87-99.

Trotman, S., Fair, B., **Chapman, J.**, & Glynn, H. Courageous Conversations: Could Disproportionate Minority Contact be a Result of Institutional Racism? Presentation at Leading Change: Rethinking Juvenile Justice 4th Annual Statewide Conference, May 10, 2007, University of Connecticut, Storrs, CT

Chapman, J.F., Alston, K.A. ADHD and Adolescent Delinquents in the USA. 2nd International Symposium, Attention Deficit Hyperactivity Disorder at Children: Questions and Solutions. May 22, 2007. Pomor State University, Archangel, Russia.

Falzer, P., **Chapman, J.F.**, & Desai, R.A. Developing Expertise and Assessing Risk Through Structured Professional Judgment. Presentation to the International Association of Forensic Mental Health Services 7th Annual Conference, June 26, 2007, Montreal, Canada.

Chapman, J.F., Grigorenko, E., Ford, J., Jarvin, L., Singh, J. Therapeutic Approaches to Dyscontrol and Aggression in Juvenile Detainees. Symposium to the 115th Convention of the American Psychological Association, August 30, 2007, San Francisco, CA August 20, 2007.

Ford, J.F., Hawke, J.M., & **Chapman, J.F.** Child Maltreatment as a Predictor of Suicide Ideation, Substance Abuse Risk, and Manualized PTSD Treatment

outcomes with Juvenile Justice-Involved Youths. Presentation at the Chadwick Center Annual Child Maltreatment Conference, January, 2008.

Chapman, J.F. & Ford, J.D. (2008). Relationships Between Suicide Risk, Traumatic Experiences, and Substance Use Among Juvenile Detainees *Archives of Suicide Research*, 12(1), 50-61.

Chapman, J.F. & Ford, J.D. Suicide Risk, Trauma, and Substance Abuse Among Juvenile Detainees in the United States. Presentation to the International Association of Forensic Mental Health Services 8th Annual Conference, July 14, 2008, Vienna, Austria.

Chapman, J.F., Vincent, G., & Cook, N. Differential Predictive Validity of the Structured Assessment of Violence Risk in Youth (SAVRY) by Race. Presentation to the American Psychology – Law Society Annual Conference, March 7, 2009. San Antonio, TX.

Chapman, J.F. & Bulygina, V. The Development of an International Research and Practice Collaborative. Presentation to the International Association of Forensic Mental Health Services 9th Annual Conference, June 24, 2009, Edinburgh, Scotland.

Chapman, J.F. Identification of Traumatic Experiences in Juvenile Justice Settings: Rationale and Techniques. Presentation to Healing the Generations: The Second Annual Family Violence and Trauma Conference. Thursday March 25, 2010 MGM Foxwoods Resort Casino.

Hart, L., Naples, A., **Chapman, J.**, Chart, H., D'Amaddio, A., Foley Geib, C, et al., (2012). The development and evaluation of an educational placement screener for youths in pre-trial detention. *European Journal of Psychological Assessment*, 28(4), 321-328.

Barbot, B., Haeffel, J., **Chapman, J.**, Grigorenko, E.L. (2010) Validation of the Delinquency Reduction Outcome Profile (DROP). Presentation made to the 7th Conference of the International Test Commission, Hong Kong, July 20, 2010.

Vincent, G., **Chapman, J.**, & Cook, N.E. (2011). Risk-needs assessment in juvenile justice: Predictive validity of the SAVRY, racial differences, and the contribution of needs factors. *Criminal Justice and Behavior*, 38(1), 42-62.

Chapman, J.F. (2011). Introduction to the special section: Children in detention. *Learning and Individual Differences*, 21(1), 1-2.

Geib, C.F. **Chapman, J.F.**, D'Amaddio, A.H., Grigorenko, E.L. (2011). The education of juveniles in detention: Policy considerations and infrastructure development. *Learning and Individual Differences*, 21(1), 3-11.

Chapman, J.F., Vincent, G., & Grant, S. (December 5, 2011). Use of the SAVRY in Institutional Settings. Presentation to the Sixth Annual Models for Change Conference, Washington, D.C.

Ford, J.D., **Chapman, J.**, Connor, D.F. & Cruise, K.R. (2012). Complex trauma and aggression in secure juvenile justice settings. *Criminal Justice and Behavior*, 39, 694-724

Connor, D.F., Ford, J.D., **Chapman, J.F.**, & Banga, A. (2012). Adolescent attention deficit hyperactivity disorder in the secure treatment setting. *Criminal Justice and Behavior*, 39 725-747.

Desai, R.A., Falzer, P.R., **Chapman, J.F.**, & Borum, R. (2012). Mental illness, violence risk, and race in juvenile detention: Implications for disproportionate minority contact. *American Journal of Orthopsychiatry*. 28(1) 32-40

Chapman, J.F. (2012) The juvenile forensic court clinic in theory and practice. In (E.Grigorenko, Ed.) *Handbook of Juvenile Forensic Psychology and Psychiatry*. New York: Springer Publishing.

Grigorenko, E.L., Macomber, D., Hart, L., Naples, A., **Chapman, J.**, Foley Geib, C. Chart, H., Tan, M., Wolhender, B., & Wagner, R. (2013) Academic achievement among juvenile detainees. *Journal of Learning DisabilitiesXX(X)* 1-10.

Chapman, J.F. Training service August 12, 2014. *Violence Risk in Children and Adolescents: Theory and Risk Assessment*. Training for Child Health and development Institute.

Chapman, J.F. Training service November 6, 2014. *Violence Risk in Children and Adolescents: Theory and Risk Assessment*. Training for Child Health and Development Institute.

Expert Testimony

Consultant to *Jane Doe et al., v. Carbone et. al.*, Civil Action No. 3:04CV915 (JCH)

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, April 20, 2006

Expert testimony to juvenile court cases, State of Connecticut Superior Court for Juvenile Matters, June 9, 2006

Expert testimony to juvenile court cases, State of Connecticut Superior Court for Juvenile Matters, December 14, 2006

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, August 13, 2007

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, February 25, 2008.

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, December 3, 2008.

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, June 3, 2009.

Expert testimony to juvenile court case, State of Connecticut Superior Court for Juvenile Matters, July 8, 2009.